



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2631/4

Applicant: HORST et al.
Serial No.: 09/291464
Filed: March 30, 1999
Title: METHOD AND APPARATUS FOR ASSIGNING ADDRESSES TO COMPONENTS IN A CONTROL SYSTEM

Examiner: PHU, PHUONG M
Group Art Unit: 2631
Docket: 07611.0026US01

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 8, 2003.

By: A. Ewald

Name: A. Ewald

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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SEP 12 2003

Technology Center 2600

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 16 Reference(s)
- ☒ Check(s) in the amount of \$180.00 for filing fee
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: Gregory A. Sebold

Name: Gregory A. Sebold

Reg. No. 33,280

GAS/ame



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PATENT TRADEMARK OFFICE

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

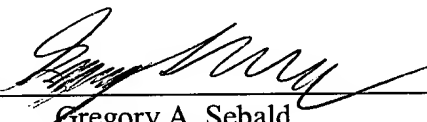
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

Dated: Sept. 8, 2003

By 
Gregory A. Sebald
Reg. No. 33,280

GAS/ame

FORM 1449*

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

07611.0026US01

Application Number:

09/281464

Applicant: HORST et al.

Filing Date: March 30, 1999

Group Art Unit: 2631

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|--------------|----------------|----------------|-------|----------|-------------------------------|
| | 5,815,823 | September 1998 | Engle | | | |
| | 5,570,284 | October 1996 | ROSELLI et al. | | | |
| | 5,122,948 | June 1992 | Zapolin | | | |
| | 6,218,961 | April 2001 | GROSS et al. | | | |
| | 5,681,015 | October 1997 | Kull | | | |
| | 4,687,258 | August 1987 | Astley | | | |
| | 6,314,345 | November 2001 | Coombes | | | |
| | 5,746,261 | May 1998 | Bowling | | | |
| | 4,529,980 | July 1985 | LIOTINE et al. | | | |
| | 5,511,749 | April 1996 | HORST et al. | | | |
| | 3,639,755 | February 1972 | Wrege | | | |
| | 4,912,463 | March 1990 | Li | | | |
| | 5,685,507 | November 1997 | HORST et al. | | | |
| | 6,456,674 | September 2002 | HORST et al. | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|--------------|---------------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| | WO 96/36953 | November 1996 | WO | | | | |
| | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|--|---|
| | | Search Report entitled: "Remote Control Systems for Locomotives: Review of Technical and Trade Literature, 1980 Forward" Compiled by Information Works Inc. for Laurie Mitchell, CANAC Inc. May 20, 2003 p. 1-110, bibliography |
| | | |



23552

PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.



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Technology Center 2600 PATENT

IN 09/281464

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 8, 2003.

By:

Name: A. Ewald

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. Enclosed is a check in the amount of \$180.00 under 37 C.F.R. § 1.17(p) for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a